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P.001

OCT 2 6 2004

### FAX TRANSMISSION TO USPTO

TO: Commissioner for Patents

Atm: Examiner William K. Cheung

Patent Examining Corps

Facsimile Center

Alexandria, VA 22313-1450

FROM:

William J. Wood

OUR REF.: TELEPHONE: G&C 130.30-U\$-U2 (310) 642-4144

Total pages, including cover letter: 6

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| Title of Document Transmitted: | RESPONSE TO RESTRICTION REQUIREMENT.  |
|--------------------------------|---|
| Applicants:                    | Glenn Noronha et al.  |
| Serial No.:                    | 10/075,415  |
| Filed:                         | February 14, 2002   |
| Group Art Unit:                | 1713  |
| Title:                         | POLYMERS FUNCTIONALIZED WITH FLUORESCENT<br>BORONATE MOTIFS AND METHODS FOR MAKING THEM |
| Our Ref. No.:                  | G&C 130.30-US-U2  |

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Reg. No.: 42,236

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Confirmation No.: 7551 Due Date: October 30, 2004

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Jonathan Reilly et al.

FROM-Gates & Cooper LLP

Examiner:

William K. Cheung

Serial No.:

10/075,415

Group Art Unit:

1713

Filed:

February 14, 2002

Docket:

G&C 130.30-US-U2

Title:

POLYMERS FUNCTIONALIZED WITH FLUORESCENT BORONATE MOTIFS AND

METHODS FOR MAKING THEM

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Typed Name of Person Mailing this Certificate: Suzic McCleave

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**GATES & COOPER LLP** 

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### RESPONSE TO RESTRICTION REQUIREMENT

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir.

In response to the Office Action dated September 30, 2004, please amend the above-identified application as follows:

#### REMARKS

The Office Action dated September 30, 2004 required restriction of the claims into 2 claim Groups. In response, Applicants elect Group I, namely claims 1-28. However, Applicants do so with traverse. Applicants dispute the assertion by the Office that the 2 claim Groups involve separate and distinct inventions.

35 U.S.C. §121 provides that "If two or more independent and distinct inventions are claimed in one application, the Commissioner may require the application to be restricted to one of the inventions." M.P.E.P. §802.01 deviates from the plain meaning of "independent and distinct" by interpreting "and" to mean "or". The Patent Office relies on the absence from the legislative history of anything contrary to this interpretation as support for their position that "and" means "or". Applicants respectfully note that this position is contrary to the rules of statutory construction. Restriction between two dependent inventions is not permissible under the plain meaning of 35 U.S.C. §121. Applicants further urge the Examiner take into consideration that the subject matter of each of the claim Groups is linked by a common inventive concept.

According to M.P.E.P. §803, there are two criteria for a proper restriction requirement. First, the two inventions must be independent and distinct. In addition, there must be a serious burden on the Examiner if restriction is not required. Even if the first criterion has been met in the present case, which it has not, the second criterion has not been met.

Applicants assert that a search into prior art with regard to the invention of the different Groups is so related that separate significant search efforts should not be necessary. Accordingly, there is no serious burden on the Examiner to collectively examine the different claim Groups of the subject application. Therefore, restriction is not proper under M.P.E.P. §803.

Consequently, Applicants respectfully request the Examiner reconsider and withdraw the restriction requirement. It is also submitted that this application is now in good order for allowance and such allowance is respectfully solicited. Should the Examiner believe minor matters still remain that

can be resolved in a telephone interview, the Examiner is urged to call Applicants' undersigned attorney.

Respectfully submitted,

GATES & COOPER LLP Attorneys for Applicant(s)

Name: William J. Wood

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Date: October 26, 2004

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